

Docket No. 115354-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Edward H. Sargent

SERIAL NO: 09/988,030 (Conf. No. 7743)

FILED: November 16, 2001

FOR: Dynamically reconfigurable optical amplification element

GAU: 2874

EXAMINER: Not yet known

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA. 22313-1450

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a copy of applicant's pending application(s) or issued patent(s) which may be related to the present application. These documents are listed on form PTO-1449, also attached.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☒ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

☐ This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application.

☒ This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

PETITION

☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

BLANK ROME LLP

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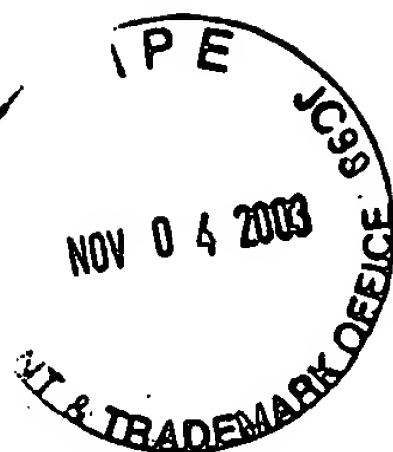
PATENT TRADEMARK OFFICE

Date: November 4, 2003

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Registration No. 35,126



08/01



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 115354-00104		SERIAL NO. 09/988,030 (Conf. No. 7743)	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Edward H. Sargent			
				FILING DATE November 16, 2001		GROUP 2874	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOC. NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,384,797 A	1/1995	Welch et al	372	23	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOC. NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
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	AP				<input type="checkbox"/>	<input type="checkbox"/>	
	AQ				<input type="checkbox"/>	<input type="checkbox"/>	
	AR				<input type="checkbox"/>	<input type="checkbox"/>	
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	AT				<input type="checkbox"/>	<input type="checkbox"/>	
	AU				<input type="checkbox"/>	<input type="checkbox"/>	
	AV				<input type="checkbox"/>	<input type="checkbox"/>	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	A. Ramdane et al, "Monolithic Integration of InGaAsP-InP Strained-Layer Distributed Feedback Laser and External Modulator by Selective Quantum-Well Interdiffusion," <i>IEEE Photonics Technology Letters</i> , Vol. 7, No. 9, September, 1995, pp. 1016-18.					
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							